7407

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

TRIUMPH GLOBAL SECURITIES, LTD., Petitioner ECF CASE

-V-

UMANN FUND MANAGEMENT, LLC, PAUL UMANSKY, JEFFREY MANN, and FINRA,

Defendant. Respondents.

Case No. O8 CV 7407 (JSR)

Rule 7.1 Statement

AUG 20 2008

U.S.D.C. S.D. N.Y.
CASHIERS

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for

TRIUMPH GLOBAL SECURITIES, LTD., (a private non-governmental party)

certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

NONE

08 AUG 20 PM II: 44
U.S. DISTRICT COURT

Date: 8/20/08

Signature of Attorney

Attorney Bar Code: AF3661